

# OMNO2 README File

OMI NO<sub>2</sub> Algorithm Team

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**Data Product Versions:**

**OMNO2 v2.1**

**OMNO2G v2.1**

**OMNO2d v2.1**

<b>Species:</b>	Nitrogen Dioxide (NO <sub>2</sub> )
<b>Data Version:</b>	Standard Product, v2.1
<b>Release Date of Current Version:</b>	July 2012
<b>Retrieved Quantities:</b>	Total slant column density Total vertical column density Stratospheric column density Tropospheric column density
<b>Spatial Resolution:</b>	13 km x 24 km (at nadir)
<b>Global Coverage:</b>	Approximately daily
<b>Date Range:</b>	2004/10/01–Present
<b>Data Screening:</b>	See data quality flags in L2 data files
<b>Data Location:</b>	<a href="http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI">http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI</a>
<b>Primary Point of Contact:</b>	Nickolay A. Krotkov NASA/Goddard Space Flight Center <a href="mailto:nickolay.a.krotkov@nasa.gov">nickolay.a.krotkov@nasa.gov</a> 301-614-5553

## 1. Executive summary

This README file describes the version 2.1 release of the OMI NO<sub>2</sub> Standard Product, OMNO2, the version 2.1 release of the OMI NO<sub>2</sub> gridded level-2 (OMNO2G), and the version 2.1 release of the gridded OMNO2d product produced from it. The original, version 1, retrieval algorithm [*Bucsela et al*, 2006], was designed to infer as much information as possible about atmospheric NO<sub>2</sub> from the OMI measurements, with the minimum possible dependence on model simulations. This approach has continued with the development of the version 2.0 and 2.1 products.

OMNO2 version 2.0 represented a significant advance over version 1, and is in greatly improved agreement with independent NO<sub>2</sub> measurements [*Bucsela et al.*, 2012]. During the five years since the version 1 release, research at NASA and other institutions has led to significant conceptual and technical improvements in the retrieval of NO<sub>2</sub> from space-based measurements, which have guided the development of the current version. In particular, the stratospheric estimate, based directly on OMI measurements, provides a

more detailed and accurate stratospheric NO<sub>2</sub> field than did the wave-2 method of version 1. The tropospheric estimate has been improved using monthly, rather than annual, mean *a priori* NO<sub>2</sub> profiles, and by several improvements to the air mass factor calculations. Correction of calibration artifacts (destriping) has been improved, and identification and flagging of pixels affected by the OMI row anomaly has been added. We have also taken into account user suggestions regarding data format and ancillary information, which will make the NO<sub>2</sub> product more useful in research and applications. The overall structure of the data files has been simplified relative to version 1 for better usability, and we now provide scattering weight\* profiles at each OMI pixel for averaging kernel calculations [see *Eskes and Boersma*, 2003]. Table 1 summarizes the changes. Version 2.1 makes incremental improvements to stratospheric estimates and destriping, resolves data gaps in high latitudes, improves the suite of metadata included with the Level 2 files, and removes a large number of deprecated fields.

Table 1. Summary of improvements in the version 2 Standard Product

Algorithm component		V1.0 Released 2006	V2.0 Released Fall 2011
Stripe correction		Based on data from 60S-60N of 15 orbits.	Based on data from 30S-5N of 5 orbits.
Stratosphere-troposphere separation		Stratospheric NO <sub>2</sub> field based on a global analysis that assumes a zonal wave-2 structure.	In regions of tropospheric pollution, stratospheric column is inferred using a local analysis of the stratospheric field.
Air Mass Factor (AMF)	NO <sub>2</sub> profile shape	GEOS-Chem annual mean tropospheric NO <sub>2</sub> profiles for the year 1997 coupled with a single stratospheric NO <sub>2</sub> profile.	Monthly mean NO <sub>2</sub> profile shapes derived from GSFC GMI CTM multiannual (2005-2007) simulation.
	Temperature profile	NMC monthly temperature profile climatology.	GEOS-5 monthly temperature profile climatology.
	Scattering weights (SW1)	SW table based on TOMRAD simulation.	Same, but with greater number of node points to reduce interpolation errors.
	Terrain albedo	GOME(-1)-based monthly climatology.	OMI-based monthly climatology.
	Tropopause pressure	Fixed tropopause pressure.	GEOS-5 monthly tropopause pressure.
	Cloud pressure/fraction	O <sub>2</sub> -O <sub>2</sub> cloud algorithm.	Improved O <sub>2</sub> -O <sub>2</sub> cloud algorithm.

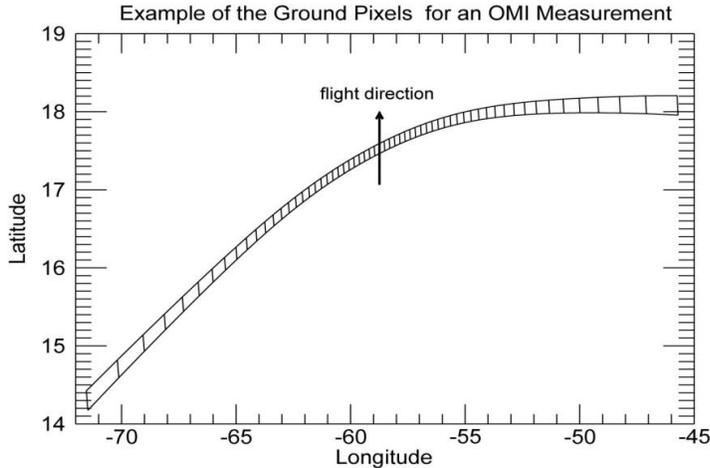
<sup>1</sup> Also known as differential air mass factor (dAMF).

## 2. Introduction

Nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) are species that play key roles in stratospheric and tropospheric ozone chemistry. Further, tropospheric  $\text{NO}_2$  is recognized to be deleterious to human health.  $\text{NO}$  and  $\text{NO}_2$  are in quasi-steady-state in the atmosphere, and their relative concentrations depend on temperature, solar illumination, and other chemical species. Major sources of tropospheric  $\text{NO}_x$  include combustion, soil emissions, and lightning. While spectroscopic measurements of thermospheric, mesospheric, and upper-stratospheric  $\text{NO}$  have been made from satellite instruments, no  $\text{NO}$  measurements have been made in the lower atmosphere. By contrast, tropospheric and stratospheric  $\text{NO}_2$  columns are readily measured.  $\text{NO}_2$  column amounts are retrieved from measurements made by the Ozone Monitoring Instrument's (OMI) VIS detector in the spectral range 405–465 nm. The Level 2 (L2)  $\text{NO}_2$  product (OMNO2) includes stratospheric, tropospheric, and total columns.

OMI was launched on July 15, 2004, on the EOS Aura satellite, which is in a sun-synchronous ascending polar orbit with a local equator crossing time (LECT) of  $\sim 13:45 \pm 0:05$ . Science-quality data operations began on October 1, 2004. OMI makes simultaneous measurements in a swath of width  $\sim 2600$  km, divided into 60 fields of view (FOVs), or pixels. Fig. 1 shows the relative positions and sizes of these scenes transverse to the flight direction. One swath is measured every two seconds. Due to the optical characteristics of the instrument, adjacent swaths overlap considerably in ground coverage. The width of a swath ensures that swaths from adjacent consecutive orbits are nearly contiguous at the equator and have some overlap at mid- to high-latitudes. In a single orbit, OMI measures approximately 1650 swaths from terminator to terminator. With an orbital period of 99 minutes, OMI views the entire sunlit portion of the Earth in  $\sim 14.5$  orbits.

For any position on the Earth, the OMI measurement time is generally not equal to the LECT. For near-nadir pixels, the local overpass time is generally earlier than the LECT in the Northern Hemisphere, and later in the Southern Hemisphere. Around latitudes 50 degrees, the difference, near-nadir, is about 1 hour, and can be much greater for off-nadir FOVs. In a swath the observational time is earlier for western pixels and later for eastern pixels (Fig.1). Appendix A describes how to calculate local times for OMI observations.



**Figure 1.** The position of 60 ground pixels for one OMI swath in the tropics. Due to optical aberrations and the asymmetric alignment between the instrument's optical axis and the spacecraft axes, the ground pixels are not symmetrically aligned with respect to the orbital plane. Note the different scales for the horizontal and vertical axes. The arrow shows the satellite trajectory.

Starting June 25, 2007, portions of the entrance optics appear to have been blocked, most likely by insulation material having debonded from the satellite body. Over time, the portion of the swath that is blocked has been changing. This phenomenon has been named the “row anomaly” (RA) referring to affected rows of the CCD detector. Detailed information may be found at <http://www.knmi.nl/omi/research/product/rowanomaly-background.php>. Although L2 OMNO2 values are calculated for all pixels, we recommend not using RA-affected pixels, as indicated by the XtrackQualityFlag field (see Section 3.2).

## 3. Level 2 data product

### 3.1 File name

OMNO2 L2 files are written in HDF-EOS version 5 format and have the following naming convention [Aura guidelines, 2008; Claas 2011]:

```
<InstrumentID>_<DataType>_<DataID>_<Version>.<Suffix>,
```

where

```
<DataID> = <ObservationDateTime>-<Orbit#>
```

and

```
<Version> = <Collection#>-<ProductionDateTime>.
```

Below is an example of an OMNO2 L2 file name:

```
OMI-Aura_L2-OMNO2_2011m1010t2318-o38499_v003-2011m1011t154524.he5,
```

where:

<InstrumentID>	OMI-AURA
<DataType>	L2-OMNO2
<ObservationDateTime>	2011m1010t2318
<Orbit#>	o38499
<Collection#>	v003
<ProductionDateTime>	2011m1011t154524
<Suffix>	he5

### 3.2 Data description

As HDF-EOS5 files, OMNO2 L2 files contain a single swath, called ColumnAmountNO2, composed of a geolocation fields group and a data fields group. This section describes briefly the more significant data fields. A complete list of the fields and metadata information contained in the OMNO2 files can be found in the document:

[http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2\\_data\\_product\\_specification.pdf](http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2_data_product_specification.pdf)

**SlantColumnAmountNO2Destriped (S)**, and **SlantColumnAmountNO2Std**: are the retrieved slant column and its uncertainty. **S** is the retrieved total areal density of NO<sub>2</sub> molecules along the effective optical path from the sun into the atmosphere, and then toward the satellite. This is calculated from the measured Earthshine radiance and solar irradiance using the DOAS algorithm, with an NO<sub>2</sub> cross section measured at 220 K. Variations that are due to intercalibration of the detector cells have been removed using the destriping procedure described in Section 3.6. Units are molecules cm<sup>-2</sup>

**ColumnAmountNO2Strat** and **ColumnAmountNO2StratStd**: Estimates of the stratospheric vertical column  $V_{strat}$ , derived from S, and their uncertainty. Units are molecules cm<sup>-2</sup>

**ColumnAmountNO2Trop** and **ColumnAmountNO2TropStd**: Estimates of the tropospheric vertical column,  $V_{trop}$ , derived from S, and their uncertainty. Units are molecules cm<sup>-2</sup>

**ColumnAmountNO2** and **ColumnAmountNO2Std**: Estimates of the total (i.e.,  $V = V_{strat} + V_{trop}$ ) vertical column and their uncertainty. Units are molecules cm<sup>-2</sup>

**ScatteringWeight<sup>2</sup>**: Vector **A** [no units] that describes the relationship between slant column,  $S_i$  and the vertical column,  $V_i$ , for each atmospheric layer  $i$ :

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<sup>2</sup> SW is also known as box air mass factor (box-AMF) or differential air mass factor (dAMF).

$$S = \sum_i S_i \approx \sum_i A_i \cdot V_i \quad (1)$$

**A** is relatively insensitive to the wavelength within the NO<sub>2</sub> spectral region, so only a single value, valid for the entire spectral fitting window, is provided. **A** is a function of the optical geometry, surface albedo, and cloud parameters, and contains a correction for the temperature dependence of the NO<sub>2</sub> cross section. SW is stored as a 3-dimensional array with dimensions [35, 60, 1644] (pressure levels, across track, along track<sup>3</sup>). The grid of pressure levels is available in the file, as ScatteringWtPressure. The values, in hPa, are:

1020., 1010., 1000., 990., 975., 960., 945., 925., 900., 875., 850., 825., 800., 770., 740., 700., 660., 610., 560., 500., 450., 400., 350., 280., 200., 120., 60.0, 35.0, 20.0, 12.0, 8.0, 5.0, 3.0, 1.5, 0.8

Partial slant column (e.g. tropospheric) densities may be computed from Eq. (1) using ranges of  $i$  falling within the partial column, and  $V_i$  values derived from measurements or models. The partial column Air-Mass Factor (e.g. **AMF<sub>trop</sub>**, Section 3.5) can be obtained by dividing Eq. (1) by the corresponding partial vertical column (e.g. **V<sub>trop</sub>**). Methods for comparing OMI columns with external datasets may be found in *Bucsela et al.* [2008], *Eskes and Boersma* [2003] and references therein.

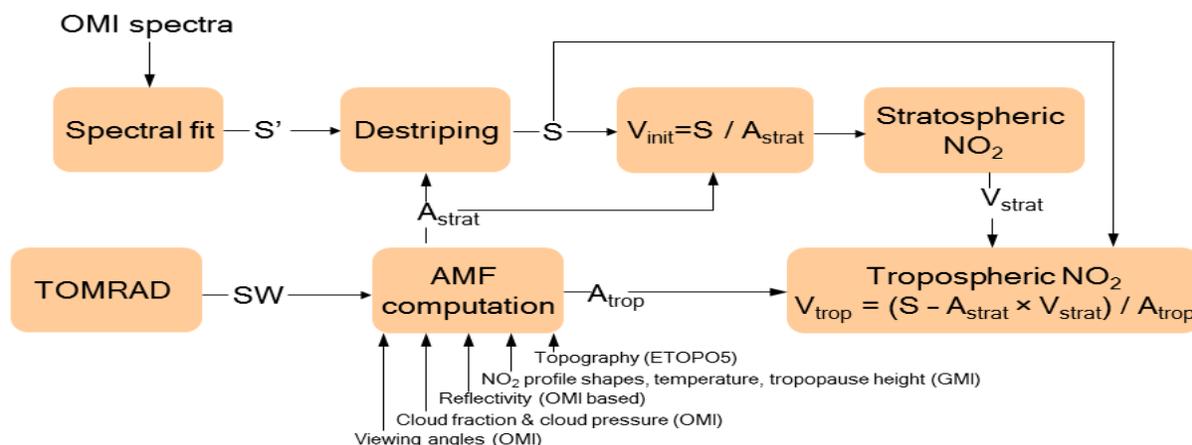
**XtrackQualityFlags:** The cross-track quality flags indicate specific likely problems with the radiance measurements, due to the row anomaly (Section 2). As a general rule, for files with measurements after June 2007, one should not use data where the XtrackQualityFlags field is nonzero. However, before this time, the XtrackQualityFlags words are set to a fill value. Thus, the user should only use Level 2 data where XtrackQualityFlags is equal to zero, OR equal to the fill value. The fill value can be found in the field metadata; its value is FF<sub>16</sub> (hexadecimal).

**vcdQualityFlag:** Quality assurance information for the tropospheric vertical column. The least significant bit is the summary quality flag. We recommend that most users only use data for which this bit is zero (i.e., vcdQualityFlag is an even integer).

### 3.3 Algorithm description

Figure 2 shows schematically the data flow through the algorithm. The individual steps are described in more detail in the following subsections.

<sup>3</sup> The number of along-track positions varies from file to file.



**Figure 2.** Schematic of the data flow through the OMI NO<sub>2</sub> algorithm.  $A_{\text{strat}}$  and  $A_{\text{trop}}$  are stratospheric and tropospheric Air Mass Factors (AMF) explained in Section 3.5.

### 3.4 DOAS spectral fitting

The OMI Level 1b data product contains calibrated earthshine radiance spectra  $I$  for each pixel. Earthshine radiances are divided by a reference solar irradiance spectrum  $F$  to give a normalized spectrum  $R$  [ $R(\lambda) = I(\lambda)/F(\lambda)$ ]. Use of a static solar reference spectrum ameliorated much of the calibration-induced striping that was discovered soon after OMI operations began. The normalized spectra are fitted to laboratory-measured trace gas spectra, a reference Ring spectrum [Chance and Spurr, 1997] and a polynomial function that models the spectrally slowly varying scattering by the atmosphere, clouds, and aerosols and reflection from the Earth's surface.

The fitting algorithm uses the Differential Optical Absorption Spectroscopy (DOAS) method, and is applied in the spectral range 405nm to 465nm. In the current version, the only trace gas absorption spectra considered are those of NO<sub>2</sub> [Vandaele et al., 1998], O<sub>3</sub> [Burrows, et al., 1999], and H<sub>2</sub>O [Harder and Brault, 1997]. The trace gas absorption spectra used were produced by convolving high-resolution, laboratory-measured absorption spectra with the measured OMI slit function. The result of the spectral fit is a slant column density "SlantColumnAmountNO2" for each OMI pixel.

### 3.5 AMF calculation

The air mass factor (AMF) is defined as the ratio of the measured slant column  $S$  to the vertical column  $V$ . AMFs depend upon a number of parameters including optical geometry, surface albedo, and the shape of the NO<sub>2</sub> vertical profile. The **AMFs** are computed from the scattering weights (Section 3.2) and a monthly mean climatology of NO<sub>2</sub> profile shapes constructed from the Global Modeling Initiative (GMI) Chemical

Transport Model simulation. Stratospheric and tropospheric AMFs are calculated, ( $\mathbf{A}_{\text{strat}}$  and  $\mathbf{A}_{\text{trop}}$ ) separated by the climatological GEOS-5 monthly tropopause pressure. While stratospheric NO<sub>2</sub> retrieval is nearly insensitive to NO<sub>2</sub> profile shape assumption, tropospheric NO<sub>2</sub> retrieval is sensitive to the NO<sub>2</sub> profile shape and the temperature profile. Use of monthly NO<sub>2</sub> profile shapes captures the seasonal variation in NO<sub>2</sub> profiles [Lamsal et al., 2010]. The climatologies are available as ASCII files from Aura Validation Data Center (AVDC) site:

[http://avdc.gsfc.nasa.gov/pub/tmp/OMNO2/OMNO2\\_reference\\_tables/](http://avdc.gsfc.nasa.gov/pub/tmp/OMNO2/OMNO2_reference_tables/)

The method of AMF calculation is similar to that described by *Palmer et al.* [2001]. For each pixel, AMFs are computed for clear ( $\mathbf{AMF}_{\text{clear}}$ ) and cloudy ( $\mathbf{AMF}_{\text{cloud}}$ ) conditions. The AMF of a cloudy scene is calculated by:

$$AMF = (1 - fr) \cdot AMF_{\text{clear}} + fr \cdot AMF_{\text{cloud}} \quad (2)$$

where  $fr$  is the cloud radiance fraction (CRF), i.e. the fraction of the measured radiation that comes from clouds and aerosols. The CRF is computed from the effective cloud fraction  $f_c$ , using tables constructed from radiative transfer calculations, as part of the OMNO2 algorithm. Note that the CRF is usually larger than  $f_c$ , since the clouds are usually much brighter than the surrounding atmosphere at 440nm.  $\mathbf{AMF}_{\text{clear}}$  is calculated assuming a Lambertian surface of reflectivity  $\mathbf{R}_s$  at pressure  $\mathbf{P}_s$ .  $\mathbf{AMF}_{\text{cloud}}$  is calculated assuming a Lambertian surface of reflectivity 0.8 at a pressure  $\mathbf{P}_c$ .  $\mathbf{R}_s$  and  $\mathbf{P}_s$  are obtained from a climatological database.  $\mathbf{P}_c$  and  $f_c$  are obtained from the OMCLDO2 product. Please refer to OMCLDO2 readme file for relevant details.

### 3.6 Destriping

The measured NO<sub>2</sub> slant column densities are corrected for an instrumental artifact that varies across the orbital track and results in the appearance of “stripes” along the track. The severity of this artifact is greatly diminished by the use of a static solar spectrum (see Section 3.4); however, further correction is needed. The destriping algorithm computes the mean cross-track biases using measurements obtained at latitudes between 30S and 5N and from orbits within 2 orbits of target orbit. These are essentially a set of 60 correction constants, one for each cross-track position, which are subtracted from the measured slant column densities to calculate the destriped slant column field, **SlantColumnAmountNO2Destriped** (see Section 3.2). Although the uncorrected slant columns (**SlantColumnAmountNO2**) are also stored in the L2 files, we do not use them to calculate vertical columns.

### 3.7 Stratosphere–troposphere separation

The stratospheric and tropospheric column amounts are retrieved separately under the assumption that the two are largely independent. The stratospheric field is computed first, beginning with creation of a gridded global field  $\mathbf{V}_{\text{init}} = \mathbf{S} / \mathbf{AMF}_{\text{strat}}$  values, assembled from

data taken within 7 orbits of the target orbit. An *a priori* estimate of the tropospheric contribution to this field, based on a monthly GMI model climatology, is subtracted, and grid cells where this contribution exceeds  $0.3 \times 10^{15} \text{ cm}^{-2}$  are masked. Masking ensures that the model contribution to the retrieval is minimal. Note that not all highly polluted areas will be masked in this procedure, since clouds may already hide the tropospheric  $\text{NO}_2$  from OMI in those regions. A three-step (interpolation, filtering, and smoothing) algorithm is then applied to fill in the masked regions and data gaps, and to remove residual tropospheric contamination. The resulting stratospheric vertical column field,  $\mathbf{V}_{\text{strat}}$ , is converted to a slant column field using  $\mathbf{AMF}_{\text{strat}}$ , and subtracted from  $\mathbf{S}$  to give the tropospheric slant column. Dividing this by the tropospheric air mass factor,  $\mathbf{AMF}_{\text{trop}}$ , gives the tropospheric vertical column  $\mathbf{V}_{\text{trop}}$ . For details see Bucseles et al., [2012].

### 3.8 Limitations

As with all remote sensing data sets, there are a number of subtleties in the OMNO2 data that are due to geophysics, the measurements, and the retrieval of  $\text{NO}_2$  columns. Users of the data are encouraged to communicate directly with members of the OMI  $\text{NO}_2$  algorithm team. We also encourage those using the data to read *Bucseles, et al. (2012)*, which describes the algorithm in detail.

Particular attention should be paid to the various quality flags. For most users, the Summary Quality Flag (least significant bit of the vcdQualityFlags data field) and the xTrackQualityFlags data field should suffice. However, in certain periods of time, using these flags will result in up to 50% field-of-view rejection rate.

While features inherent in the stratospheric  $\text{NO}_2$  field are relatively large, compared to the geographical extent of OMI's larger (far-off-nadir) fields of view, many local features in tropospheric fields are smaller than OMI fields of view. This may lead to a negative bias in the column amounts when a field has a local maximum within the field of view. This should be a consideration when computing statistics from multiple measurements.

The retrieval algorithm permits the values of any of the columns to be negative. In particular, small-magnitude negative values are not uncommon in areas that are generally unpolluted (e.g. over open oceans). When computing statistics, it is important to include all valid measurements, regardless of their sign, in order to avoid bias.

## 4. The Level-2 gridded $\text{NO}_2$ product, OMNO2G

The Level-2 HDF-EOS Version 5 (HE5) files, described in Section 3, are used to create Level-2-gridded, daily data products, called OMNO2G. These are also HE5 files, but are Grid type, rather than Swath type files. Each  $0.25 \times 0.25$  degree geographical grid cell can be thought of as containing a "stack" of up to 15 Level-2 pixels' data collocated with the grid cell.

The OMNO2G data product can be useful for considering Level-2 data within a geographic area of interest. It was originally conceived as a "global overpass" data set.

It does have the advantage of containing a geographically sorted list of Level-2 pixels, which may be more convenient for users interested in regional NO<sub>2</sub> fields. Since only as many as 15 Level-2 pixels are identified with a grid cell, there may be some selection: greater priority is given to pixels having the shortest optical path length (defined as  $\sec(\theta) + \sec(\theta_0)$ , where  $\theta$  = viewing zenith angle, and  $\theta_0$  = solar zenith angle). The user should be aware that the identification of a Level-2 pixel with a grid cell is based entirely on the location of the pixel center. Since the grid array has a spatial resolution of 0.25 degrees, and many of the OMI fields-of-view are considerably larger than that, it is a good idea to examine data that are identified with a larger region than the actual region of interest.

#### 4.1 File name

The file name for the OMNO2G files is of the form:

```
OMI-Aura_L2G-OMNO2G_<ObservationDate>_v003-<ProductionDate>.he5
```

An example is:

```
OMI-Aura_L2G-OMNO2G_2013m0102_v003-2013m0103t183921.he5
```

#### 4.2 File structure

The structure of the HE5 data file is shown in Figure 3. There are 38 data sets within the Data Fields group, which are selected from the Level-2 data. Table 2 lists those fields.

Figure 3. Structure of the OMNO2G data file

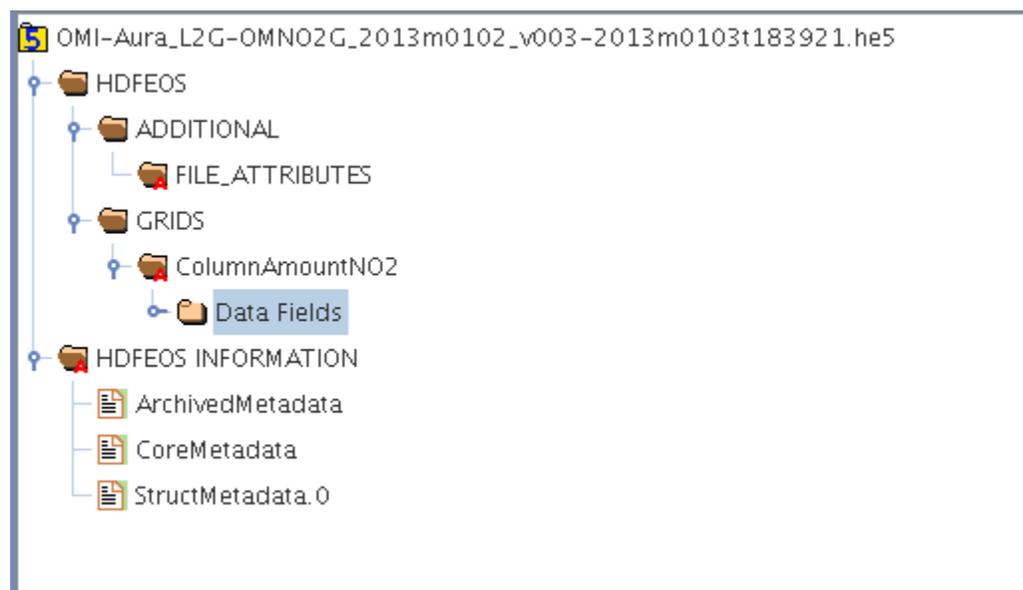


Table 2. Data fields included in the OMNO2G file

<b>CloudFraction</b>	<b>OrbitNumber</b>
<b>CloudFractionStd</b>	<b>PathLength</b>
<b>CloudPressure</b>	<b>SceneNumber</b>
<b>CloudPressureStd</b>	<b>SlantColumnAmountNO2</b>
<b>CloudRadianceFraction</b>	<b>SlantColumnAmountNO2Destriped</b>
<b>ColumnAmountNO2</b>	<b>SlantColumnAmountNO2Std</b>
<b>ColumnAmountNO2Std</b>	<b>SolarAzimuthAngle</b>
<b>ColumnAmountNO2Strat</b>	<b>SolarZenithAngle</b>
<b>ColumnAmountNO2StratStd</b>	<b>SpacecraftAltitude</b>
<b>ColumnAmountNO2Trop</b>	<b>SpacecraftLatitude</b>
<b>ColumnAmountNO2TropStd</b>	<b>SpacecraftLongitude</b>
<b>FitQualityFlags</b>	<b>TerrainPressure</b>
<b>GroundPixelQualityFlags</b>	<b>TerrainReflectivity</b>
<b>InstrumentConfigurationId</b>	<b>Time</b>
<b>Latitude</b>	<b>TropopausePressure</b>
<b>LineNumber</b>	<b>VcdQualityFlags</b>
<b>Longitude</b>	<b>ViewingAzimuthAngle</b>
<b>MeasurementQualityFlags</b>	<b>ViewingZenithAngle</b>
<b>NumberOfCandidateScenes</b>	<b>XtrackQualityFlags</b>

Each data field has dimensions [ 1440 , 720 , 15 ] (1440= number of cells in the longitude direction; 720= number of cells in the latitude direction; 15= maximum Level-2 pixels identified with each cell.) Unpopulated elements in the data field are given a fill value.

### 4.3 Limitations

Since the Level-2 data are copied directly into the OMNO2G data product, the general quality of the data are the same. For some purposes, in some geographical regions, more than 15 Level-2 pixels may have their centers land in a particular cell, and some Level-2 data, whose optical path lengths are longer than the others may be excluded. This should happen rarely, but may lead to slight shifts in statistical measures, if one is not careful.

As mentioned in Section 4, since the identification of a grid cell with a Level-2 pixel is based solely on the location of the pixel's center, some pixels identified with nearby grid cells may be relevant to a particular grid cell.

In the current version of OMNO2G, the pixel corners (from the product OMPICOR) are not copied from the Level-2 files. Any work that involved detailed knowledge of the Level-2 pixel geometries will have to be done using the Level-2 data product (OMNO2), itself.

## 5. The Level-3 gridded NO<sub>2</sub> product, OMNO2d

The Level-2 HDF-EOS Version 5 (HE5) files, described in Section 3, are used to create Level-3 daily data products, called OMNO2d. These are also HE5 files, but are Grid type, rather than Swath type files. In the archived data product, a day's worth of Level-2 data (usually 14 or 15 orbits) are mapped into a 0.25 x 0.25 degree latitude-longitude grid. The parameters specifying the grid cell locations are available in the metadata included in each file. Each file contains five (5) grid fields:

**ColumnAmountNO2**  
**ColumnAmountNO2CloudScreened**  
**ColumnAmountNO2Trop**  
**ColumnAmountNO2TropCloudScreened**  
**Weight**

In each of the first four of these fields, the value given in any grid cell is a weighted average of the values of the corresponding field (ColumnAmountNO2 or ColumnAmountNO2Trop) in all the Level-2 fields-of-view (pixels) that have any overlap at all with that grid cell. The weighting scheme is described below.

The Level-3 data product is available from the Goddard Earth Sciences Data and Information Services Center (GES-DISC) at <http://disc.sci.gsfc.nasa.gov/>.

### 5.1 File Name

The names of the OMNO2d files are of the form:

OMI-Aura\_L3-OMNO2d\_<ObservationDate>\_v003-<ProductionDate>.he5

An example is:

OMI-Aura\_L3-OMNO2d\_2007m0915\_v003-2012m1126t105634.he5.

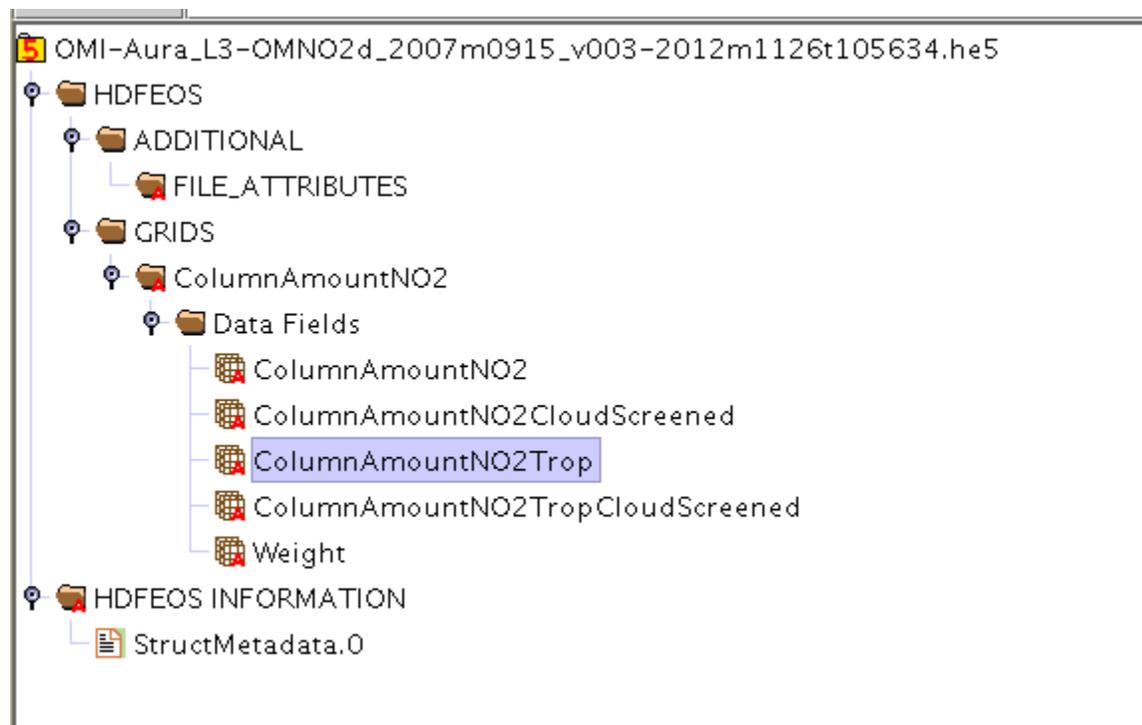
### 5.2 File Structure

The structure of the HE5 data file is shown in Figure 4. Metadata are found in four places:

- Structural metadata are in /HDFEOS INFORMATION/StructMetadata.0 ;
- Metadata concerning the source data are found in /HDFEOS/ADDITIONAL/FILE\_ATTRIBUTES;
- The grid metadata are in group attributes of the group /HDFEOS/GRIDS/ColumnAmountNO2;

- Metadata concerning individual fields is attached to the grid fields themselves.

Figure 4. Structure of the OMNO2d data file.



### 5.3 Data Fields

The data fields contain the gridded data. The first grid cell (the one with the smallest indices) has edges at 180 degrees west longitude and 90 degrees south longitude. Grid cells that did not have any overlapping Level-2 fields-of-view among the input files are assigned a fill value ( $-2.100 \approx -1.26765 \times 10^{30}$ ). The data are in units of molecules per square centimeter.

All the NO<sub>2</sub> data fields are produced by first screening the Level-2 data, and then calculating the weighted average of the remaining data. The screening criteria are listed in Table 3.

Table 3. Screening criteria used in OMNO2d pixel selection

Field	Criteria	Notes
SolarZenithAngle	$0 \leq \text{SZA} \leq 85^\circ$	All OMNO2d fields
VcdQualityFlags	If <i>no</i> summary flag is set Only ascending leg of orbit	All OMNO2d fields
XtrackQualityFlags	No flag may be set	All OMNO2d fields
RootMeanSquaredErrorOfFit	$< 0.0003$	All OMNO2d fields
TerrainReflectivity	$< 30\%$	All OMNO2d fields
CloudFraction	$< 30\%$	"CloudScreened" fields only

The weighted averages are computed as follows: for each grid cell ( $j$ ) and each Level-2 pixel ( $i$ ), the area of overlap ( $Q_{ij} = \text{area of overlap} \div \text{area of grid cell}$ ) is computed, and the area of the pixel  $A_i$  is known. The weight is linear with  $A_i$ :  $W_{Ai} = 1 - (A_i - A_{min})/A_{max}$  (larger area, smaller weight), and is proportional to the area of overlap (larger overlap, larger weight.) The weight for pixel  $i$  and cell  $j$  is

$$w_{ij} = W_{Ai} * Q_{ij} .$$

The total of all weights for cell  $j$ ,  $W_j$ , is stored in the data field Weight. This can be used to combine gridded data from multiple Level-3 files, geographical regions, in order to rapidly compute spatial or temporal averages. Indexing the relevant data sets by  $k$ , compute  $V_j$ :

$$V_j = \sum_k W_{kj} V_{kj} / \sum_k W_{kj}$$

## 5.4 Limitations

While the Level-3 data product can be used to assess the daily  $\text{NO}_2$  column densities (or, when combined as described above, for longer time periods), it is important to remember that the values in the grid cells are weighted averages of a number of OMI measurements, and the value in a cell may not correspond to any one actual measurement. Because the 8-10 OMI pixels farthest from nadir are quite large (see Figure 1), their contribution to the weighted average in a grid cell may be affected by actual  $\text{NO}_2$  columns some distance away from the cell. This is particularly important when looking at daily Level-3 data, as, especially in the tropics, some grid cells may have contributions from only the OMI swath edge pixels, while others have contributions from only the smaller, near-nadir pixels. The natural spatial resolution of the former is coarser than the grid cells, while the spatial resolution of the latter is comparable to the grid cell size. To compare different small areas, one should consider the Weight field values for each. The weights of better-characterized grid cells will tend to be larger than those of less-well-characterized grid cells. This is also a consideration when constructing time-series for a set of grid cells: Because of Aura's precession relative to the fixed geographical grid, a chosen grid cell will be under large OMI pixels on some days, and under small ones on other days. One should especially look at the weights if one finds an apparent spatial or temporal periodicity in the  $\text{NO}_2$  columns.

The product development team has chosen a cloud screening criterion of the effective cloud fraction  $f_c < 0.30$  (see Table 3) for the cloud-screened variables, which reflects a compromise between data quality and quantity. If one wished to choose a different criterion, one would have to recompute all the Level-3 data anew, starting with the Level-2 data. Interested persons may contact the OMNO2 Team to obtain software that may be used to do this.

Users who wish to do studies on a particular site may also be interested in the OMNO2 overpass data product available from Aura Validation Data Center's web site: <http://avdc.gsfc.nasa.gov/>

## 6. Software versions

This document applies to the public release of the OMI L2 NO<sub>2</sub> data, product version 2.1, archived as collection 3 and released in July 2012. The L2 algorithm is divided into four processes, each performed by a separate program. The end result is the creation of the OMNO2 L2 data product from the OMI Level 1b product. The software versions used to produce product version 2.1 are:

<b>L0 to L1b processing</b>	<b>collection 3</b>
<b>OMCLDO2</b>	<b>V1.2.3.3</b>
<b>OMNO2A</b>	<b>V1.2.3.1</b>
<b>OMNO2B</b>	<b>V1.2.1.0</b>
<b>OMNO2</b>	<b>V1.1.13.0</b>
<b>OMNO2G</b>	<b>V1.3.0</b>
<b>OMNO2d</b>	<b>V1.0.3</b>

## 7. Data quality assessment

The quality of the data in this release is currently being established by consistency checks and independent measurements in ongoing validation campaigns from ground-, aircraft-, and satellite-based instruments [Bucsela et al., 2012].

The fitting error in the NO<sub>2</sub> slant column is estimated to be  $0.3\text{--}1 \times 10^{15} \text{ cm}^{-2}$ , before the row anomaly (RA). Users are advised against using RA affected data, indicated by a nonzero XTrackQualityFlag.

Preliminary comparisons of the retrieved stratospheric monthly zonal mean NO<sub>2</sub> columns show that they generally have increased slightly (<10%) relative to the version 1 retrieval. The seasonal variation of OMI stratospheric NO<sub>2</sub> agrees with the NASA GSFC GMI chemical transport model. An absolute bias of up to 25% exists, but is consistent with

known model biases. A document detailing validation with other ground-based and satellite datasets is in preparation [Swartz et al., 2012].

The retrieved tropospheric NO<sub>2</sub> columns were compared with ground-based and in-situ NO<sub>2</sub> measurements and bottom-up emission inventories. The preliminary validation studies indicate a good agreement, considering several differences among the datasets. Detailed validation studies are in preparation [Lamsal et al., 2013; Swartz et al., 2013].

## 8. Product Availability

The OMNO2 product is archived and distributed from the [Goddard Earth Sciences Data & Information Services center \(GES DISC\)](#). The files can be directly downloaded from the GES DISC [Mirador site](#) which provides parameters and spatial subset capabilities. OMI products are written in HDF-EOS5 format. GES DISC also provides a list of tools that read HDF-EOS5 data files, see the link <http://disc.sci.gsfc.nasa.gov/Aura/additional/tools.shtml>.

## 9. Reporting Problems and Requesting Information

The following is a summary of links to current documentation for OMNO2:

[http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2\\_readme\\_v003.pdf](http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2_readme_v003.pdf)

[http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2\\_data\\_product\\_specification.pdf](http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2_data_product_specification.pdf)

[http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2G\\_data\\_product\\_specification.pdf](http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2G_data_product_specification.pdf)

[http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2d\\_data\\_product\\_specification.pdf](http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/documents/v003/OMNO2d_data_product_specification.pdf)

To report problems, or pose questions and comments related to the OMNO2 algorithm, data quality, and file structure, please send electronic mail to the OMI NO<sub>2</sub> algorithm team: [omno2@ltpmail.gsfc.nasa.gov](mailto:omno2@ltpmail.gsfc.nasa.gov). Additional questions may be directed to the principal point of contact for OMNO2: [Nickolay.A.Krotkov@nasa.gov](mailto:Nickolay.A.Krotkov@nasa.gov)

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## Appendix A: Time Calculations

The local mean, civil, or apparent time at the center of any OMI pixel can be obtained from the geolocation data, using the variable “Time” for the swath and the variable “Longitude” for the pixel. (Apparent time requires, additionally, calculation of the Equation of Time.) The Time variable is given in decimal TAI-93 format, so should be converted (for sub-minute precision) to UTC. The local solar times—mean and apparent—are of importance when the photochemical lifetimes of NO<sub>2</sub> are important. The relevant equations are:

$$\begin{aligned} \text{UTC} &= \text{TAI} - 32 - \text{LS} && \text{(Coordinated Universal Time)} \\ \text{LCT} &= \text{UTC} + \text{TZ} && \text{(Local Civil Time = Wall-clock time)} \\ \text{LMST} &= \text{UTC} + \lambda/15 && \text{(Local Mean Solar Time)} \\ \text{LAST} &= \text{LMST} + \text{E} && \text{(Local Apparent Solar Time = Sundial time)} \end{aligned}$$

Where

$$\begin{aligned} \text{LS} &= \text{Number of leap seconds added since July 1, 2004 (One-second additions occurred at midnight after Dec. 31, 2005, Dec 31, 2008, and June 31, 2012)} \\ \text{TZ} &= \text{Time zone value (e.g. -4 hours for US Eastern Daylight Time)} \\ \lambda &= \text{Longitude, in degrees (East positive, West negative)} \\ \text{E} &= \text{Equation of Time.} \end{aligned}$$

The Equation of Time, in minutes, can be approximated with a precision of < 6 s by the formula

$$\text{E} = 9.87 \sin(2B) - 7.53 \cos(B) - 1.5 \sin(B)$$

where

$$\begin{aligned} B &= 360 (\text{DOY} - 81) / 365. \\ \text{DOY} &= \text{Day of Year} \end{aligned}$$

Formulae for higher-precision calculations of  $E$  can be found in various reference sources.